

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashvin Desai

Serial No.: 09/547,708

For: SECURE STENT FOR MAINTAINING A LUMENAL OPENING

Docket No: 10284-0257906

Filed: April 11, 2000

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

The enclosed Information Disclosure Statement (with attached Form PTO-1449) is submitted for filing in the above-identified application within three months of filing or before the mailing date of a first Office Action on the merits.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Please date-stamp and return the enclosed postcard as confirmation of receipt of the above materials.

Date:

6-19-01

David H. Jaffer  
Reg. No. 32,243

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2550 Hanover Street  
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Telephone No.: (650) 233-4500

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231 on

June 20, 2001 by Judy Keelen

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TECHNOLOGY CENTER R3700



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashvin Desai Docket No: 10284-0257906  
Serial No: 09/547,708 Group Art Unit:  
Filed: April 11, 2000 Examiner:  
For: **SECURE STENT FOR MAINTAINING A LUMENAL OPENING**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ Form PTO-1449 (modified)
3. ☐ Statement as to Information Material to Examination Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☐ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Identification of Person(s) Making This Information Disclosure Statement

Sections , respectively, have been continued on ADDED PAGE(S).

**Section 1. Preliminary Statements**

Applicant submits herewith patents, publications or other information of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

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**Section 9. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
(signature of inventor)

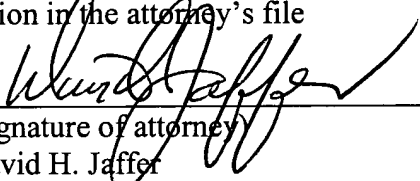
\_\_\_\_\_  
(typed name)

- (b) ☒ the attorney who signs below on the basis of:

- ☐ the information supplied by the inventor(s)  
☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
☒ the information in the attorney's file

Date

6-19-01

  
\_\_\_\_\_  
(signature of attorney)

David H. Jaffer  
Reg. No. 32,243

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